PARKSIDE INVESTMENTS

Form CRS/March 15, 2026

Parkside Investments, LLC is an Investment Adviser registered with the Securities and Exchange Commission. Investment advisory and brokerage services and fees differ and it is important for you as a retail investor to understand the differences. Free and simple tools are available to you to research firms and financial professionals at investor.gov/CRS, which also provides educational materials about investment advisers, investing, and broker-dealers.

What investment services and advice can you provide me?

Description of Services. Parkside Investments offers investment advisory services to retail investors. On behalf of our clients, we:

- Custom tailor solutions for each client.
- Manage portfolios with a total return approach – targeting growth and/or income needs.
- View risk/reward thru the lens of the "front windshield" (not the rear-view mirror).
- Utilize proprietary research generated by a senior dedicated analyst.
- Align our interests with our clients by investing our personal portfolios in the same investments and strategies we have selected for our clients.

Monitoring. As part of our standard services, we research individual securities within asset classes most likely to thrive in a prevailing macro backdrop. Investments chosen for your portfolio are monitored regularly alongside existing macro-economic conditions, sector trends, and investment performance against target. Periodically, or as circumstances warrant, your accounts are reviewed by Parkside for consistency with your personal Investment Policy Statement (IPS).

Investment Authority. You sign a Wealth Management Agreement for a *discretionary* account, i.e., giving Parkside the ability to buy and sell without prior consultation on transactions. We have discretion to manage your account in accord with your IPS until you, or we, terminate Parkside's services. You have final discretion regarding all private investments. As a client courtesy, we facilitate the processing of *nondiscretionary* securities transactions (you make the ultimate buy/sell decision). Nondiscretionary transactions are not subject to management services or ongoing supervision.

Limited Investment Offerings. Investments for your portfolio are NOT limited to a specific menu of products or types of investments unless you restrict certain investments from your portfolio. We use a combination of strategies to implement your allocation plan, including individual equity and fixed income holdings together with non-affiliated ETFs, mutual funds, closed-end funds and other vehicles to gain diversification. If appropriate, we may recommend that you invest a portion of your total portfolio in private investments which could include a suggested allocation to a Parkside proprietary fund.

Account Minimums and Other Requirements. In general, Parkside's minimum relationship size is $2,000,000 for a discretionary, separately managed account.

Additional Information. Parkside does not hold assets, so we assist you to open accounts with a Qualified Custodian to hold the assets Parkside manages on your behalf. Further information regarding Parkside's services can be read in our firm's ADV Part 2A (see Item 4, Advisory Business and Item 7, Types of Clients) available at parksideinv.com .

Below are several conversation starters for you to ask a Parkside representative:

- ??? *Given my financial situation, should I choose an investment advisory service? Why or why not?*
- ??? *How will you choose investments to recommend to me?*
- ??? *What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?*

What fees will I pay?

Parkside's ongoing fees are based on a percentage of assets under management, including cash, and are calculated quarterly, payable in advance for the following quarter. Our standard fee schedule for equity and balanced accounts is 0.90% annually for the first $2 million, 0.70% annually for the next $3 million, 0.40% for the next $5 million and thereafter 0.30%. For additional information, see our ADV Part 2A, Item 5, Fees and Compensation and Item 6, Performance-Based Fees and Side by Side Management at parksideinv.com . Fees are paid as a percentage of assets so an investment adviser has incentive to encourage a client to add to account assets, (ex: rolling over 401K assets, making deposits, etc.), because increased assets will generate additional fees.

In addition to Parkside's management fees, you will bear other costs. These could include custodian fees, account maintenance fees, fees related to mutual funds, and other transactional fees and product-level fees. Parkside does not receive any commissions or any portion of fees paid to broker-dealers, other managers, limited partnerships, mutual funds or other products and services.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying.

??? **Here is a conversation starter for you to ask a Parkside representative***: Help me understand how these fees and costs might affect my investments. If I give you $10,000 to invest, how much will go to fees and costs, and how much will be invested for me?*

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interest do you have?

WHEN WE ACT AS YOUR INVESTMENT ADVISER, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. Here is an example to help you understand what this means.

For instance, a conflict of interest exists if we recommend that a client invest in Parkside Covered Call Fund because the fund's fees are 1% versus the lesser, stated fee schedule for separately managed accounts. Parkside VPS Fund has no management fee, however, there is a performance allocation which could potentially be greater than the client's fee for a separately managed account. For further information see our ADV Part 2A, Item 11, Code of Ethics.

??? **Here is a conversation starter for you to ask a Parkside representative:** *How might your conflicts of interest affect me, and how will you address them?*

How do your financial professionals make money?

Our financial professionals receive salary and benefits paid from Parkside's revenue earned for advisory services provided to our clients. The cost of any additional payment made to professionals for servicing specific accounts is borne by Parkside out of revenue. We are not incentivized to recommend any particular products or investments.

Do you or your financial professionals have legal or disciplinary history?

No. You can visit investor.gov/CRS for a free and simple tool to research our firm or our financial professionals.

??? **Here are conversation starters for you to ask a Parkside representative:** *As a financial professional, do you have any disciplinary history? For what type of conduct?*

For additional information on Parkside Investments, up-to-date Form ADV Parts 2A and 2B and Part 3 Form CRS are available by calling 312/778-7700 or by accessing Parkside's website at parksideinv.com .

??? **Here are conversation starters for you to ask a Parkside representative:** *Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?*